ARTICLE 2. LICENSING AUDIOLOGISTS AND SPEECH-LANGUAGE PATHOLOGISTS

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ARTICLE 2. LICENSING AUDIOLOGISTS AND SPEECH-LANGUAGE PATHOLOGISTS

R9-16-201. Definitions

The In addition to the definitions in A.R.S. § 36-1901, the following definitions apply in this Article, unless otherwise specified:

- 1. "Accredited" means approved by the:
 - a. New England Association of Schools and Colleges,
 - b. Middle States Association of Colleges and Secondary Schools Commission on Higher Education,
 - c. North Central Association of Colleges and Schools,
 - d. Northwest Association of Schools and Colleges Commission on Colleges and Universities,
 - e. Southern Association of Colleges and Schools, or
 - f. Western Association of Schools and Colleges.
- 2. "Applicant" means an individual who submits to the Department an initial or a renewal application packet to practice audiology or speech-language pathology in Arizona.
- 3. "Application packet" means the information, documents, and fees required by the Department to apply for licensure.
- 4. "Audiology" has the meaning in A.R.S. § 36-1901(2).
- 5. 4. "ASHA" means the American Speech-Language-Hearing Association, a national scientific and professional organization for audiologists and speech-language pathologists.
- 5. "Calendar day" means each day, not including the day of the act, event, or default from which a designated period of time begins to run, but including the last day of the period unless it is a Saturday, Sunday, statewide furlough day, or legal holiday, in which case the period runs until the end of the next day that is not a Saturday, Sunday, statewide furlough day, or legal holiday.
- 6. "CCC" means Certificate of Clinical Competence, an award issued by ASHA to an individual who:
 - a. Completes a degree in audiology or speech-language pathology from an accredited college or university that includes a clinical practicum;
 - b. Passes the ETSNESPA; and
 - c. Completes a clinical fellowship.

- 7. "CE Continuing education" means continuing education, the ongoing an on-going process of receiving instruction and training that directly relates to the practice of audiology, or speech-language pathology-related courses, or fitting and dispensing hearing aids.
- 8. "Clinical fellow" means an individual engaged in a clinical fellowship.
- 9. "Clinical fellowship" means an individual's postgraduate professional experience assessing, diagnosing, screening, treating, writing reports, and counseling individuals exhibiting speech, language, hearing, or communication disorders, obtained:
 - a. After completion of graduate level academic course work and a clinical practicum;
 - b. Under the supervision of a clinical fellowship supervisor; and
 - c. While being-employed on a full-time or part-time equivalent basis.
- 10. "Clinical fellowship agreement" means the document submitted to the Department by a clinical fellow to register the initiation of a clinical fellowship.
- 11. "Clinical fellowship report" means a document completed by a clinical fellowship supervisor containing:
 - a. A summary of a clinical fellow's diagnostic and therapeutic procedures,
 - b. A verification of the clinical fellow's diagnostic and therapeutic procedures by the clinical fellowship supervisor, and
 - c. An evaluation of the clinical fellow's ability to perform the diagnostic and therapeutic procedures.
- 12. "Clinical fellowship supervisor" means an audiologist or <u>a licensed</u> speech-language pathologist who:
 - a. Is a sponsor of a temporary licensee;
 - b. Had a CCC while <u>providing supervising direct supervision to</u> a clinical fellow before the effective date of this Article;, or
 - c. Has a CCC while <u>providing supervising direct supervision to</u> a clinical fellow in another state.
- 13. "Clinical practicum" means the experience acquired by an individual who is completing course work in audiology or speech-language pathology, while supervised by a licensed audiologist, a licensed speech-language pathologist, or an individual holding a CCC, by assessing, diagnosing, evaluating, screening, treating, and counseling individuals exhibiting speech, language, hearing, or communication disorders.
- 14. "Course" means a workshop, seminar, lecture, conference, class, or instruction.

- 15. "Current CCC" means documentation issued by ASHA verifying that an individual is presently certified by ASHA.
- 16. "Days" means calendar days.
- 17.16. "Diagnostic and therapeutic procedures" means the principles and methods used by an audiologist in the practice of audiology or a speech-language pathologist in the practice of speech-language pathology.
- 18.17. "Disciplinary action" means a proceeding that is brought against a licensee by the Department under A.R.S. § 36-1934 or a state licensing agency or board.
- 19.18. "ETSNESPA" means Educational Testing Service National Examination in Speech-Language Pathology and Audiology, the specialty area test of the <u>PraxixPraxis</u> Series given by the Education Testing Service, Princeton, N.J.
- 20.19. "Full-time" means 30 clock hours or more per week.
- 21.20. "Graduate level" means leading to, or creditable towards, a master's or doctoral degree.
- 22. "License" means the written authorization issued by the Department to practice audiology or speech language pathology.
- 23.21. "Local education agency" means a school district governing board established by A.R.S. §§ 15-301 through 15-396.
- 24.22. "Monitoring" means being responsible for and providing direction to a clinical fellow without directly observing diagnostic and therapeutic procedures.
- 25.23. "Onsite On-site observations" means the presence of a clinical fellowship supervisor who is watching a clinical fellow perform diagnostic and therapeutic procedures.
- 26.24. "Part-time equivalent" means:
 - a. 25-29 clock hours per week for 48 weeks,
 - b. 20-24 clock hours per week for 60 weeks, or
 - c. 15-19 clock hours per week for 72 weeks.
- 27.25. "Pupil" means a child attending a school, a charter school, a private school, or an accommodation school, which are defined in A.R.S. § 15-101.
- 28.26. "Semester credit hour" means one earned academic unit of study based on completing, at an accredited college or university, a 50 to 60 minute class session per calendar week for 15 to 18 weeks.
- 29.27. "Semester credit hour equivalent" means one quarter credit which is equal in value to 2/3 of a semester credit hour.
- 30. "Speech-language pathology" has the meaning in A.R.S. § 36-1901(17).

- 31.28. "State supported institution" means a school receiving funding under A.R.S. §§ 15-901 through 15-108615-1045.
- <u>32.29.</u> "Supervise" means being responsible for and providing direction to:
 - A clinical fellow during <u>onsite on-site</u> observation or monitoring of the clinical fellow's diagnostic and therapeutic procedures; or
 - b. An individual completing a clinical practicum.
- 33.30. "Supervisory activities" means evaluating and assessing a clinical fellow's diagnostic and therapeutic procedures in assessing diagnosing, evaluating, screening, treating, and counseling individuals exhibiting speech, language, <u>cognitive</u>, hearing, or communication disorders.
- 34.31. "Week" means the period of time beginning at 12:00 a.m. on Sunday and ending at 11:59 p.m. the following Saturday.

R9-16-202. Qualifications for Licensure

An applicant shall meet the requirements in A.R.S. § 36-1940 to qualify for an audiologist's license or A.R.S. § 36-1940.01 to qualify for a speech language pathologist's license.

- 1. An applicant shall provide the Department with written documentation of either a current CCC or completion of a minimum of 60 semester credit hours or semester credit hour equivalents in audiology or speech-language pathology from an accredited college or university as evidence of completion of an equivalent to a master's degree in audiology as required in A.R.S. § 36-1940(A)(2)(a), (B)(2)(a) or speech-language pathology as required in A.R.S. § 36-1940.01(A)(2)(a).
 - a. To qualify for an audiologist's license, the 60 semester credit hours shall include a minimum of 21 graduate level semester credit hours in the area of audiology and a minimum of six semester credit hours in the area of speech language pathology.
 - b. To qualify for a speech language pathologist's license, the 60 semester credit hours shall include a minimum of 21 graduate level semester credit hours in the area of speech language pathology and a minimum of six semester credit hours in the area of audiology.
 - e. An applicant is allowed no more than six graduate level semester credit hours for a clinical practicum.
 - d. Thesis or dissertation credit hours may not be used to meet the requirements of this subsection.

- 2. An applicant shall provide the Department with written documentation of either a current CCC or completion of a minimum of 300 clock hours in a clinical practicum at an accredited college or university as evidence of completion of a clinical practicum in audiology as required in A.R.S. § 36-1940(A)(2)(b), (B)(2)(b) or speech language pathology as required in A.R.S. § 36-1940.01(A)(2)(b)
 - a. For an individual applying for an audiologist's license, the 300 clock hours shall include at least 20 clock hours in speech language pathology and 250 clock hours or more in audiology including at least:
 - i. 40 clock hours in the evaluation of hearing in children;
 - ii. 40 clock hours in the evaluation of hearing in adults;
 - iii. 80 clock hours in the selection and use of amplification and assistive devices with a minimum of 10 clock hours with adults and a minimum of 10 clock hours with children; and
 - iv. 20 clock hours in the treatment of hearing disorders in children and adults.
 - b. For an individual applying for a speech language pathologist's license, the 300 clock hours shall include at least 20 clock hours in audiology and 250 clock hours or more in speech language pathology including at least 20 clock hours in each of the following categories:
 - i. The evaluation of speech disorders in children;
 - ii. The evaluation of speech disorders in adults;
 - iii. The evaluation of language disorders in children;
 - iv. The evaluation of language disorders in adults;
 - v. The treatment of speech disorders in children;
 - vi. The treatment of speech disorders in adults;
 - vii. The treatment of language disorders in children;
 - viii. The treatment of language disorders in adults.
- An applicant shall provide the Department with written documentation of either a current CCC or completion of 36 weeks or more of a clinical fellowship as evidence of completion of the postgraduate professional experience required by A.R.S. § 36-1940(A)(2)(c), (B)(2)(c), or A.R.S. § 36-1940.01(A)(2)(c),
 - a. The clinical fellowship shall be completed within seven years from the date the clinical practicum was completed;

- b. Once initiated, the clinical fellowship shall be completed in no more than 36 consecutive months; and
- e. A minimum of 80% of the clinical fellowship hours shall be in direct client contact.

R9-16-203. License Application

- An applicant for a regular audiology license or a regular speech language pathology license shall submit to the Department an application packet containing:
 - 1. An application on in form provided by the Department and signed by the applicant that contains all of the following:
 - a. The applicant's name, social security number, current home address, business address, and home and business telephone numbers,
 - b. If applicable, the name of applicant's employer and the employer's current business address and telephone number;
 - e. A statement of whether the applicant has ever been convicted of a felony or a misdemeanor involving moral turpitude in this state or any other state;
 - d. A list of all states and countries in which the applicant is or has been licensed as an audiologist or speech language pathologist;
 - e. A statement of whether any disciplinary action, consent order, or settlement agreement is pending or has been imposed by any state or country upon the applicant's audiology or speech language pathology license; and
 - f. A statement by the applicant verifying the truthfulness of the information provided by the applicant;
 - 2. An official transcript issued to the applicant by an accredited college or university after the applicant's completion of a master's degree or 60 semester credit hours or semester credit hour equivalents as provided in R9-16-202(1) or a copy of a current CCC:
 - 3. Written documentation of the applicant's completion of a clinical practicum as required by R9 16 202(2) or a copy of a current CCC;
 - 4. A photocopy of the clinical fellowship report signed by the clinical fellowship supervisor as required by R9 16 202(3) or a copy of a current CCC;
 - Written documentation of a passing grade on a ETSNESPA or a copy of a current CCC;
 and
 - 6. An application fee of \$100.

- **B.** An applicant for a temporary license shall submit to the Department an application packet containing:
 - 1. An application on a form provided by the Department containing the information in subsections (A)(1), (A)(2), (A)(3), (A)(5), and the fee in (A)(6); and
 - 2. A copy of the clinical fellowship agreement that includes:
 - a. The clinical fellow's name, home address, and telephone number;
 - b. The clinical fellowship supervisor's name, business address, telephone number, and Arizona audiology or speech language pathology license number;
 - e. The name and address where the clinical fellowship will take place;
 - d. A statement by the clinical fellowship supervisor agreeing to comply with R9-16-205; and
 - e. The signatures of the clinical fellow and the clinical fellowship supervisor.
- C. An applicant for an audiology license to fit and dispense hearing aids shall submit to the Department an application packet containing:
 - 1. The information, documents, and fee required in subsection (A); and
 - 2. Written documentation of passing a hearing aid dispenser examination as required by A.R.S. § 36-1940(B)(4).
- An applicant for a speech language pathology license limited to providing services to pupils under the authority of a local education agency or state supported institution shall submit to the Department an application packet containing:
 - 1. An application on a form provided by the Department containing the information in subsection (A)(1);
 - 2. A copy of a temporary or standard certificate in speech language therapy issued by the State Board of Education;
 - 3. A copy of an employment contract or an employment contract conditioned upon the applicant's licensure, with a local education agency or state supported institution that includes:
 - a. The applicant's name and social security number,
 - b. The name of the local education agency or state supported institution,
 - c. The classification title of the applicant,
 - d. The work dates or projected work dates of the employment contract, and
 - e. Signatures of the applicant and the individual authorized by the governing board to represent the local education agency or state supported institution, and
 - 4. An application fee of \$100.

R9-16-203. R9-16-202. Initial License Application for an Audiologist

- A. An applicant for a regular audiology license shall submit to the Department:
 - 1. An application in a format provided by the Department that contains:
 - <u>a.</u> The applicant's name, home address, telephone numbers, and e-mail address;
 - b. The applicant's Social Security Number, as required under A.R.S. §§ 25-320 and 25-502;
 - <u>c.</u> <u>If applicable, the applicant's business address and telephone number,</u>
 - d. If applicable, the name of applicant's employer, including the employer's business address and telephone number;
 - e. Whether the applicant has ever been convicted of a felony or a misdemeanor involving moral turpitude in this or another state;
 - <u>Mether the applicant is or has been licensed as an audiologist, an audiologist to fit and dispense hearing aids, or an audiologist, who has a master's degree, to fit and dispense hearing aids in another state or country;</u>
 - g. Whether the applicant has had a license revoked or suspended by any state within the previous two years;
 - <u>Mether the applicant is currently ineligible for licensure in any state because of a prior license revocation or suspension;</u>
 - <u>i.</u> Whether any disciplinary action, consent order, or settlement agreement is pending or has been imposed by any state or country upon the applicant's audiology, an audiologist to fit and dispense hearing aids, or an audiologist, who has a master's degree, to fit and dispense hearing aids license;
 - j. Whether the applicant agrees to allow the Department to submit supplemental requests for information under R9-16-209;
 - <u>k.</u> <u>An attestation that information required as part of the application has been submitted and is true and accurate; and</u>
 - 1. The applicant's signature and date of signature;
 - 2. If the applicant has been convicted of a felony or a misdemeanor involving moral turpitude, documentation that includes:
 - <u>a.</u> The date of the conviction,
 - <u>b.</u> The state or jurisdiction of the conviction,
 - c. An explanation of the crime of which the applicant was convicted, and
 - <u>d.</u> The disposition of the case;

- 3. If a license for the applicant has been revoked or suspended by any state within the previous two years, documentation that includes:
 - <u>a.</u> The date of the revocation or suspension,
 - <u>b.</u> The state or jurisdiction of the revocation or suspension, and
 - <u>c.</u> An explanation of the revocation or suspension;
- 4. If the applicant is currently ineligible for licensure in any state because of a prior license revocation or suspension, documentation that includes:
 - <u>a.</u> The date of the ineligibility for licensure,
 - <u>b.</u> The state or jurisdiction of the ineligibility for licensure, and
 - c. An explanation of the ineligibility for licensure;
- 5. If the applicant has a disciplinary action, consent order, or settlement agreement is pending or has been imposed by any state or county upon the applicant's audiology, the audiologist to fit and dispense hearing aids, or the audiologist, who has a master's degree, to fit and dispense hearing aids license, documentation that includes:
 - <u>a.</u> The date of the disciplinary action, consent order, or settlement agreement;
 - <u>b.</u> The state or jurisdiction of the disciplinary action, consent order, or settlement agreement; and
 - <u>c.</u> An explanation of the disciplinary action, consent, or settlement agreement;
- 6. If applicable, a list of all states and countries in which the applicant is or has been licensed as an audiologist, an audiologist to fit and dispense hearing aids, or an audiologist, who has a master's degree, to fit and dispense hearing aids;
- 7. A copy of the applicant's:
 - a. U.S. passport, current or expired;
 - b. Birth certificate;
 - c. Naturalization documents; or
 - <u>d.</u> <u>Documentation of legal resident alien status;</u>
- 8. A copy of the applicant's:
 - a. Official transcript issued to the applicant by an accredited college or university

 after the applicant's completion of a doctoral degree consistent with the standards

 of this state's universities, as required in A.R.S. § 36-1940(A)(2); and
 - <u>b.</u> Passing grade on a ETSNESPA Praxis examination dated within three years before the date of application required in A.R.S. § 36-1902(E); or
 - <u>c.</u> <u>Current CCC completed by the applicant within three years before the date of application;</u>

- 9. A non-refundable application fee of \$100.
- B. An applicant for a regular audiology license to fit and dispense hearing aids shall submit to the Department:
 - 1. <u>An application in a format provided by the Department that contains requirements in subsection (A)(1) through (7) and (A)(9);</u>
 - 2. A copy of the applicant's:
 - a. Official transcript issued to the applicant by an accredited college or university

 after the applicant's completion of a doctoral degree consistent with the standards

 of this state's universities, as required in A.R.S. § 36-1940(B)(2); or
 - <u>b.</u> <u>Current CCC completed by the applicant within three years before the date of application;</u>
 - 3. Written documentation, dated within three years before the date of application required in A.R.S. § 36-1902(G), of a passing grade on a ETSNESPA Praxis examination;
 - 4. A written documentation of a passing grade on the hearing aid dispenser's examination as required in A.R.S. § 36-1924 and R9-16-305; and
 - 5. Documentation indicating the applicant has passed an examination in jurisprudence and ethics within six months after regular licensure.
- <u>C.</u> An applicant for a regular audiology license to fit and dispense hearing aids and was awarded a master's degree before December 31, 2007 shall submit to the Department:
 - 1. An application in a format provided by the Department that contains requirements in subsection (A)(1) through (7) and (A)(9);
 - 2. A copy of the applicant's official transcript issued to the applicant prior to December 31, 2007 by an accredited college or university after the applicant's completion of a master's degree consistent with the standards of this state's universities, as required in A.R.S. § 36-1940(C);
 - 3. Documentation indicating the applicant meets the requirements in R9-16-212 for waiver of the educational and clinical rotation as required in A.R.S. § 36-1940.02(C);
 - <u>4.</u> <u>Documentation indicating that the applicant:</u>
 - a. Has a passing grade on a ETSNESPA Praxis examination completed within three years before the date of application required in A.R.S. § 36-1902(E); or
 - b. Has a current CCC completed within three years before the date of application required in A.R.S. § 36-1902(E); or
 - c. <u>Is currently practicing audiology and meets the audiology examination waiver</u> requirement in R9-16-212 and A.R.S. § 36-1940(D);

- 5. Written documentation of a passing grade on the hearing aid dispenser's examination as required in A.R.S. § 36-1924 and R9-16-305; and
- 6. Documentation indicating the applicant has passed an examination in jurisprudence and ethics within six months after regular licensure.
- <u>D.</u> The Department shall review the application packet for a regular license to practice as a audiologist, an audiologist to fit and dispense hearing aids, or an audiologist, who has a master's degree, to fit and dispense hearing aids, as applicable, according to R9-16-209 and Table 2.1.

R9-16-203. Initial License Application for a Speech-language Pathologist

- An applicant for a regular speech-language pathologist license shall submit to the Department:
 - 1. An application in a format provided by the Department that contains:
 - <u>a.</u> The applicant's name, home address, telephone numbers, and e-mail address;
 - b. The applicant's Social Security Number, as required under A.R.S. §§ 25-320 and 25-502;
 - c. <u>If applicable, the applicant's business address and telephone number,</u>
 - d. If applicable, the name of applicant's employer, including the employer's business address and telephone number;
 - e. Whether the applicant has ever been convicted of a felony or a misdemeanor involving moral turpitude in this or another state;
 - <u>f.</u> Whether the applicant is or has been licensed as a speech-language pathologist in another state or country;
 - g. Whether the applicant has had a license revoked or suspended by any state within the previous two years;
 - h. Whether the applicant is currently ineligible for licensure in any state because of a prior license revocation or suspension;
 - i. Whether any disciplinary action, consent order, or settlement agreement is pending or has been imposed by any state or country upon the applicant's speech-language pathologist license;
 - j. Whether the applicant agrees to allow the Department to submit supplemental requests for information under R9-16-209;
 - <u>k.</u> <u>An attestation that information required as part of the application has been</u> submitted and is true and accurate; and
 - <u>1.</u> The applicant's signature and date of signature;

- 2. If the applicant has been convicted of a felony or a misdemeanor involving moral turpitude, documentation that includes:
 - <u>a.</u> The date of the conviction,
 - <u>b.</u> The state or jurisdiction of the conviction,
 - c. An explanation of the crime of which the applicant was convicted, and
 - <u>d.</u> The disposition of the case;
- 3. If a license for the applicant has been revoked or suspended by any state within the previous two years, documentation that includes:
 - <u>a.</u> The date of the revocation or suspension,
 - <u>b.</u> The state or jurisdiction of the revocation or suspension, and
 - c. An explanation of the revocation or suspension;
- 4. If the applicant is currently ineligible for licensure in any state because of a prior license revocation or suspension, documentation that includes:
 - <u>a.</u> The date of the ineligibility for licensure,
 - <u>b.</u> The state or jurisdiction of the ineligibility for licensure, and
 - <u>c.</u> <u>An explanation of the ineligibility for licensure;</u>
 - 5. If the applicant has a disciplinary action, consent order, or settlement agreement is pending or has been imposed by any state or country upon the applicant's speech-language pathologist license, documentation that includes:
 - <u>a.</u> The date of the disciplinary action, consent order, or settlement agreement;
 - <u>b.</u> <u>The state or jurisdiction of the disciplinary action, consent order, or settlement agreement; and</u>
 - c. An explanation of the disciplinary action, consent, or settlement agreement;
- 6. A copy of the applicant's:
 - <u>a.</u> <u>U.S. passport, current or expired;</u>
 - b. Birth certificate;
 - c. Naturalization documents; or
 - <u>d.</u> <u>Documentation of legal resident alien status;</u>
- 7. Documentation of the applicant's:
 - a. Official transcript issued to the applicant by an accredited college or university after the applicant's completion of a master's degree consistent with the standards of this state's universities, as required in A.R.S. § 36-1940.01(A)(2)(a); and
 - b. Completion of a clinical practicum, as required in A.R.S. § 36-1940.01(A)(2)(b); and

- c. Completion of clinical fellowship signed by the clinical fellowship supervisor as required in A.R.S. § 36-1940.01(A)(2)(c); or
- <u>d.</u> <u>Completion of a current CCC;</u>
- 8. Documentation of the applicant's passing score on the ETSNESPA as required in A.R.S. § 36-1940.01(A)(3); and
- 9. A non-refundable application fee of \$100.
- **B.** A speech-language pathologist, who is limited to providing services to pupils under the authority of a local education agency or state supported institution, shall submit:
 - 1. A copy of an employee agreement or employment contract conditioned upon the applicant's licensure, with a local education agency or a state supported institution, that includes:
 - <u>a.</u> Applicant's name and social security number,
 - <u>b.</u> <u>Name of the local education agency or state-supported institution.</u>
 - c. Classification title of the applicant,
 - d. Work dates or projected work dates of the employment contract, and
 - e. Signatures of the applicant and the individual authorized by the governing board to represent the local education agency or state-supported institution;
 - A copy of a temporary or regular certificate in speech and language therapy issued by the
 State Board of Education to the applicant; and
 - <u>A non-refundable application fee of \$100.</u>
- <u>C.</u> The Department shall review an application packet for a regular license to practice as a speech-language pathologist according to R9-16-209 and Table 2.1.

R9-16-204. Temporary License Application for a Speech-language Pathologist

- An applicant for a temporary speech-language pathologist license shall submit to the Department:
 - 1. An application in a format provided by the Department that contains:
 - <u>a.</u> The applicant's name, home address, telephone numbers, and e-mail address;
 - b. The applicant's Social Security Number, as required under A.R.S. §§ 25-320 and 25-502;
 - <u>c.</u> <u>If applicable, the applicant's business address and telephone number,</u>
 - d. <u>If applicable, the name of applicant's employer, including the employer's</u> business address and telephone number;
 - e. Whether the applicant has ever been convicted of a felony or a misdemeanor involving moral turpitude in this or another state;

- <u>f.</u> Whether the applicant has had a license revoked or suspended by any state within the previous two years;
- g. Whether the applicant is currently ineligible for licensure in any state because of a prior license revocation or suspension;
- Mhether any disciplinary action, consent order, or settlement agreement is
 pending or has been imposed by any state or country upon the applicant's speech-language pathologist license;
- i. Whether the applicant is or has been licensed as a speech-language pathologist in another state or country;
- j. Whether the applicant agrees to allow the Department to submit supplemental requests for information under R9-16-209;
- <u>k.</u> <u>An attestation that information required as part of the application has been submitted and is true and accurate; and</u>
- 1. The applicant's signature and date of signature;
- 2. If the applicant has been convicted of a felony or a misdemeanor involving moral turpitude, documentation that includes:
 - a. The date of the conviction,
 - <u>b.</u> The state or jurisdiction of the conviction,
 - c. An explanation of the crime of which the applicant was convicted, and
 - <u>d.</u> The disposition of the case;
- 3. <u>If a license for the applicant has been revoked or suspended by any state within the previous two years, documentation that includes:</u>
 - <u>a.</u> The date of the revocation or suspension.
 - <u>b.</u> The state or jurisdiction of the revocation or suspension, and
 - c. An explanation of the revocation or suspension;
- 4. If the applicant is currently ineligible for licensure in any state because of a prior license revocation or suspension, documentation that includes:
 - <u>a.</u> The date of the ineligibility for licensure,
 - <u>b.</u> The state or jurisdiction of the ineligibility for licensure, and
 - c. An explanation of the ineligibility for licensure;
- 5. If the applicant has a disciplinary action, consent order, or settlement agreement is pending or has been imposed by any state or country upon the applicant's speech-language pathologist license, documentation that includes:
 - a. The date of the disciplinary action, consent order, or settlement agreement;

- c. An explanation of the disciplinary action, consent, or settlement agreement;
- 6. A copy of the applicant's:
 - <u>a.</u> <u>U.S. passport, current or expired;</u>
 - b. Birth certificate;
 - c. Naturalization documents; or
 - <u>d.</u> <u>Documentation of legal resident alien status;</u>
- 7. Documentation of the applicant's:
 - a. Official transcript issued to the applicant by an accredited college or university after the applicant's completion of a master's degree consistent with the standards of this state's universities, as required in A.R.S. § 36-1940.01(A)(2)(a); and
 - b. Completion of a clinical practicum, as required in A.R.S. § 36-1940.01(A)(2)(b);
- 8. A copy of the applicant's clinical fellowship agreement that includes:
 - <u>a.</u> The applicant's name, home address, and telephone number;
 - b. The clinical fellowship supervisor's name, business address, telephone number, and Arizona audiology or speech-language pathology license number;
 - <u>c.</u> The name and address where the clinical fellowship will take place;
 - d. A statement by the clinical fellowship supervisor agreeing to comply with R9-16-210; and
 - <u>e.</u> The signatures of the applicant and the clinical fellowship supervisor;
- 9. Documentation of the applicant's completion of the ETSNESPA as required in A.R.S. § 36-1940.01(A)(3); and
- 10. A non-refundable application fee of \$100.
- **B.** A temporary license may be renewed only once.
- <u>C.</u> An applicant issued a temporary license shall practice only under the supervision of a regular licensed speech-language pathologist.
- <u>D.</u> The Department shall review an application packet for a temporary license to practice as a speech-language pathologist according to R9-16-209 and Table 2.1.

R9-16-206. License Renewal

- **A.** Before the expiration date of a regular license, shall submit to the an application packet containing:
 - 1. A license renewal fee of \$100;

- 2. A completed record of compliance with the CE requirements in R9-16-207; and
- 3. A license renewal form provided by the Department that contains:
 - a. The licensee's name, current home address, business address, and home and business telephone numbers;
 - b. If applicable, the name of the employer and the employer's current business address and telephone number;
 - c. License number and date of expiration; and
 - d. A statement of whether the licensee has been convicted of a felony or a misdemeanor involving moral turpitude since the licensee's previous license application.
- A licensee who submits the information and fee in subsection (A)(1) no later than 30 days after the license expiration date shall submit the information and renewal fee required in subsection (A), and a \$25 late fee, and in addition to the information and fee required by subsection (A). A licensee who does not submit the information and the fee in subsection (A)(1) within 30 days after the license expiration date, may obtain a license by submitting the application packet required in R9-16-203(A).
- When renewing a temporary license, a licensee shall submit a license renewal fee of \$100 and a form provided by the Department containing:
 - 1. The applicant's name, address, and phone number;
 - 2. The name of applicant's employer, the employer's current business address, telephone number, and Arizona audiologist or speech language pathologist license number;
 - 3. The clinical fellowship supervisor's name, business address, telephone number, and Arizona audiologist or speech language pathologist license number;
 - 4. A statement by the clinical fellowship supervisor agreeing to comply with R9-16-205; and
 - 5. The signature of the clinical fellowship supervisor.

R9-16-206. R9-16-205. License Renewal License Application for an Audiologist

- A licensed audiologist or audiologist who fits and dispenses hearing aids shall submit to the Department a renewal application:
 - 1. At least 30 calendar days before the expiration date of the license, and
 - 2. <u>In a format provided by the Department that contains:</u>
 - a. The applicant's name, home address, telephone numbers, and e-mail address;
 - <u>b.</u> <u>If applicable, the applicant's business address and telephone number,</u>

- c. <u>If applicable, the name of the applicant's employer, business address and telephone number;</u>
- <u>d.</u> The applicant's license number and date of expiration;
- e. Since the previous license application, whether the applicant has been convicted of a felony or a misdemeanor involving moral turpitude in this or another state;
- <u>f.</u> <u>If the applicant was convicted of a felony or a misdemeanor:</u>
 - <u>i.</u> The date of the conviction,
 - ii. The state or jurisdiction of the conviction,
 - iii. An explanation of the crime of which the applicant was convicted, and
 - <u>iv.</u> The disposition of the case;
- Whether the applicant had, within two years before the renewal application date,
 an audiologist license or an audiologist to fit and dispense hearing aids license
 suspended or revoked by any state;
- <u>h.</u> <u>Documentation of the continuing education according to R9-16-208 including:</u>
 - i. The name of the organization providing the coursework;
 - <u>ii.</u> The date and location where the continuing education coursework was provided;
 - iii. The title of each course attended;
 - <u>iv.</u> The description of each course's content;
 - <u>v.</u> The name of the instructor;
 - <u>vi.</u> The instructor's education, training, and experience background, if available;
 - vii. The number of continuing education hours earned for each course; and
 - viii. Documentation of course completion;
- i. An attestation that information required as part of the application has been submitted and is true and accurate;
- <u>j.</u> The applicant's signature and date of signature; and
- <u>k.</u> A non-refundable \$200 license renewal fee.
- **B.** An applicant who submits the documentation and fee in subsection (A) within 30 calendar days after the license expiration date shall submit to the Department:
 - 1. The documentation and renewal fee required in subsection (A), and
 - 2. A \$25 late fee.

- <u>C.</u> An applicant who does not submit the documentation and fee in subsection (A), within 30 calendar days after the license expiration date, may apply for a new license according to R9-16-202.
- **D.** If an applicant submitted an application according to subsection (C) and the application is received by the Department within one year after the expiration date of the license, the applicant:
 - 1. Is not required to submit ETSNESPA documentation, and
 - 2. Shall submit documentation of continuing education according to in R9-16-208 completed within the previous two years before the date of application.
- E. The Department shall review the application packet for a renewal license to practice as an audiologist or an audiologist to fit and dispense hearing aids according to R9-16-209 and Table 2.1.

R9-16-206. Renewal License Application for a Speech-language Pathologist

- A. A licensed speech-language pathologist shall submit to the Department a renewal application:
 - 1. At least 30 calendar days before the expiration date of the license, and
 - <u>2.</u> <u>In a format provided by the Department that contains:</u>
 - <u>a.</u> The applicant's name, home address, telephone numbers, and e-mail address;
 - <u>b.</u> <u>If applicable, the applicant's business address and telephone number,</u>
 - c. <u>If applicable, the name of the applicant 's employer, including the employer's</u> business address and telephone number;
 - d. Applicant's license number and date of expiration;
 - e. Since the previous license application, whether the applicant has been convicted of a felony or a misdemeanor involving moral turpitude in this or another state.
 - <u>f.</u> <u>If the applicant was convicted of a felony or a misdemeanor:</u>
 - <u>i.</u> The date of the conviction,
 - ii. The state or jurisdiction of the conviction,
 - iii. An explanation of the crime of which the applicant was convicted, and
 - <u>iv.</u> The disposition of the case;
 - g. Whether the applicant had, within two years before the renewal application date,
 an speech-language pathologist license suspended or revoked by any state;
 - h. Documentation of the continuing education related to speech-language pathology according to R9-16-208:
 - <u>i.</u> The name of the organization providing the coursework;

- <u>ii.</u> The date and location where the continuing education coursework was provided;
- <u>iii.</u> The title of each course attended;
- <u>iv.</u> The description of each course's content;
- v. The name of the instructor;
- <u>vi.</u> The instructor's education, training, and experience background, if available;
- vii. The number of continuing education hours earned for each course; and
- viii. Documentation of course completion;
- i. An attestation that information required as part of the application has been submitted and is true and accurate;
- j. The applicant's signature and date of signature; and
- <u>k.</u> A non-refundable \$200 license renewal fee.
- <u>An applicant who submits the information and fee in subsection (A) within 30 calendar days after the license expiration date shall submit to the Department:</u>
 - 1. The documentation and renewal fee required in subsection (A), and
 - 2. <u>A \$25 late fee.</u>
- <u>C.</u> An applicant who does not submit the documentation and the fee in subsection (A) within 30 calendar days after the license expiration date shall apply for a new license in R9-16-203.
- <u>D.</u> <u>If an applicant submitted an application according to subsection (C) and the application is received by the Department within one year after the expiration date of the license, the applicant:</u>
 - 1. Is not required to submit ETSNESPA documentation, and
 - 2. Shall submit documentation of continuing education according to in R9-16-208 completed within the previous two years before the date of application.
- **E.** The Department shall review the application packet for a renewal license to practice as an speech-language pathologist according to R9-16-209 and Table 2.1.

R9-16-207. Application for Renewal of a Temporary License for a Speech-Language Pathologist

- A. A temporary-licensed speech-language pathologist shall submit to the Department a renewal application:
 - 1. At least 30 calendar days before the expiration date of the license, and
 - <u>2.</u> <u>In a format provided by the Department that contains:</u>
 - <u>a.</u> The applicant's name, address, e-mail address, and telephone numbers;
 - <u>b.</u> Applicant's license number and date of expiration;

- <u>c.</u> The name of the applicant's employer, including the employer's business
 <u>address</u>, telephone number, and Arizona speech-language pathologist license
 number;
- <u>d.</u> The applicant's clinical fellowship supervisor's name, business address,
 telephone number, and Arizona speech-language pathologist's license number;
- e. A statement signed and dated by the applicant's clinical fellowship supervisor agreeing to comply with R9-16-210;
- <u>f.</u> <u>Since the previous license application, whether the applicant has been convicted of a felony or a misdemeanor involving moral turpitude in this or another state.</u>
- g. <u>If the applicant was convicted of a felony or a misdemeanor:</u>
 - i. The date of the conviction,
 - ii. The state or jurisdiction of the conviction,
 - iii. An explanation of the crime of which the applicant was convicted, and
 - iv. The disposition of the case;
- h. An attestation that information required as part of the application has been submitted and is true and accurate;
- i. The applicant's signature and date of signature; and
- j. A non-refundable \$200 license renewal fee.
- **B.** The Department shall review the application packet for a temporary renewal license to practice as a speech-language pathologist according to R9-16-209 and Table 2.1.

R9-16-207. R9-16-208. Continuing Education

- A. Every 12 months two years from the effective date of a regular license, a licensee shall complete eight credit hours or more of continuing education approved by the Department as required in A.R.S. § 36-1904. A credit hour consists of a minimum of 50 continuous minutes of instruction.
 - 1. A licensed audiologist shall complete at least 20 continuing education hours related to audiology;
 - 2. A licensed audiologist who fits and dispenses hearing aids shall complete:
 - <u>a.</u> At at least 20 continuing education hours related to audiology, and
 - b. No more than eight continuing education hours required in subsection (A)(1)(a) provided by a single manufacturer of hearing aids'
 - b. At least 24 continuing education hours in hearing aid dispenser related courses as required in R9-16-311; and

- d. No more than eight continuing education hours required in subsection (A)(1)(c) provided by a single manufacturer of hearing aids;
- e. No more than one third of the 24 continuing education hours required in subsection (A)(1)(c) provided in the topics of business or client services practices or trends in the profession or that contribute to the professional or business competence of a hearing aid dispenser; and
- 3. A licensed speech-language pathologist shall complete at least 20 continuing education hours in speech-language pathology related courses.
- **B.** An individual presenting a CE continuing education course or a may request approval for a CE continuing education course shall submit by submitting the following to the Department:
 - 1. The individual's name, address, telephone number, and e-mail address;
 - <u>1.2.</u> A brief summary of the course;
 - 2.3. The name, educational background, and teaching experience of the individual presenting the course;
 - 3.4. The educational objectives of the course;
 - 4.5. The name of the organization providing the CE continuing education course; and
 - 5.6. The date, time, and place of presentation of the <u>CE</u> continuing education course, if applicable.
- <u>C.</u> <u>A licensee requesting approval for a continuing education course shall submit the following in format provided by the Department:</u>
 - 1. The licensee's name and license number; and
 - 2. The information specified in section (B)(1) through (6).
- C. D. If an individual or a licensee submits the information in subsection (B) or (C) with a renewal application packet, the Department shall comply with the time frames in review the request for approval for a continuing education course according to R9-16-209 and Table 2.1.
- **D.** For Department approval of a CE course, the overall time-frame described in A.R.S. § 41-1072(2) is 45 days.
- E. For Department approval of a CE course, the administrative completeness review time frame is 30 days and begins on the date the Department receives a request for CE approval.
 - 1. If a request for CE approval is incomplete, the Department shall send to an individual presenting a CE course or a licensee, a written notice of incompleteness that states each deficiency and the information or documents needed to complete the request. The administrative completeness review time frame and the overall time frame are suspended

- from the date of the notice until the date the Department receives a complete request for CE approval.
- 2. When the Department receives a complete request for CE approval, the Department shall send a written notice of administrative completeness to the individual presenting a CE course or the licensee.
- 3. If the individual presenting a CE course or the licensee does not supply a complete request for CE approval within 60 days from the date the Department receives a request for CE approval, the Department shall consider the request for CE approval withdrawn.
- 4. If the Department grants approval for a CE course during the time provided to assess administrative completeness, the Department shall not issue a separate written notice of administrative completeness.
- For Department approval of a CE course, the substantive review time frame described in A.R.S. § 41–1072(3) is 15 days and begins on the date the Department sends written notice of administrative completeness to an individual presenting the CE course or a licensee.
 - 1. If a CE course does not meet the requirements in subsection (G), the Department shall send a written notice of denial to the individual presenting the CE course or the licensee including a basis for the denial.
 - 2. If a CE course meets the requirements of subsection (G), the Department shall send written notice of approval to the individual presenting the CE course or the licensee.
- G.E. The Department shall approve a CE continuing education course if the Department determines that the CE continuing education course:
 - 1. Is designed to provide current developments, skills, procedures, or treatment in diagnostic and therapeutic procedures in audiology or speech-language pathology;
 - 2. Is developed and presented by individuals knowledgeable and experienced in the presented subject area; and
 - 3. Contributes directly to the professional competence of a licensee
- H. A licensee shall maintain a record of each CE course completed by the licensee for 36 months from the date of submitting the record to the Department as required by R9-16-208(A). The record shall contain:
 - 1. The name, address, and license number of the licensee;
 - 2. For each CE course completed by the licensee:
 - a. The name of the organization providing the CE course, and the date and place of presentation;
 - b. The name of the CE course;

- c. A description of the CE course's content and educational objectives;
- d. The name and description of the educational background and teaching experience of the individual presenting each course;
- e. The number of CE credit hours earned for the CE course; and
- f. A statement, signed by the individual presenting the CE course, verifying the licensee's attendance; and
- 3. A statement, signed by the licensee, verifying the information contained in the record.
- **LF.** A licensee is not permitted to carry forward CE eredit continuing education hours from a-previous year-years.

R9-16-204.R9-16-209. License and Approval Application Time-frames

- A. For any of the each type of license or approval issued by the Department license applications under this Article R9 16 203 or R9 16 206, Table 2.1 specifies the overall time-frame described in A.R.S. § 41-1072(2) is 60 days.
 - 1. An applicant or licensee and the Department may agree in writing to extend the substantive review time-frame and the overall time-frame.
 - 2. The extension of the substantive review time-frame and the overall time-frame may not exceed 25% of the overall time-frame.
- B. For any of the each type of license or approval issued by the Department license applications under this Article R9 16 203 or R9 16 206, Table 2.1 specifies the administrative completeness review time-frame is 30 days described in A.R.S. § 41-1072(1) and begins on the date the Department receives an application packet.
 - 1. If an application packet is incomplete, the Department shall send to the applicant a written notice of incompleteness that states each deficiency and the information or documents needed to complete the application packet. The administrative completeness review time frame and the overall time frame are suspended from the date of the notice until the date the Department receives a complete application packet.
 - 2. When the Department receives a complete application packet, the Department shall send a written notice of administrative completeness to the applicant.
 - 3. If the applicant does not submit a complete application packet within 90 days from the date the Department sends a written notice of incompleteness to the applicant, the Department shall consider the application withdrawn.

- 4. If the Department sends a written notice of approval to the applicant during the time provided to assess administrative completeness, the Department shall not provide a separate written notice of administrative completeness.
- <u>1.</u> The administrative completeness review time-frame begins:
 - a. The date the Department receives the license application packet require in this Article, or
 - <u>b.</u> The date the Department receives a request for continuing education course approval required in R9-16-208.
- 2. Except as provided in subsection (B)(3), the Department shall provide a written notice of administrative completeness or a notice of deficiencies to an applicant or licensee within the administrative completeness review time-frame.
 - a. If a license application packet or request for continuing education course
 approval is not complete, the notice of deficiencies list each deficiency and the
 information or documentation needed to complete the license application packet
 or request for continuing education course approval.
 - <u>A notice of deficiencies suspends the administrative completeness review time-</u>
 <u>frame and the overall time-frame from the post-mark date of the notice until the date the Department receives the missing information or documentation.</u>
 - c. If the applicant does not submit to the Department all the information or documentation listed in the notice of deficiencies within 30 calendar days after the post-mark date of the notice of deficiencies, the Department considers the license application packet or request for continuing education course approval withdrawn.
- 3. If the Department issues a license or approval during the administrative completeness review time-frame, the Department shall not issue a separate written notice of administrative completeness.
- C. For any of the each type of license or approval issued by the Department license applications under this Article in R9 16 203 or R9 16 206, Table 2.1 specifies the substantive review time-frame described in A.R.S. § 41-1072(3) is 30 days and, which begins on the post-mark date the Department sends written of the notice of administrative completeness to an applicant.
 - 1. If an applicant does not meet the requirements of A.R.S. §§ 36-1901 through 36-1940.03 and this Article, the Department shall send to the applicant a written comprehensive request for additional information that states each statute and rule upon which the request is based. The substantive review time frame and the overall time frame are suspended

from the date the written comprehensive request is sent until the date the Department receives the requested information.

- a. If an applicant does not submit the requested information within 90 days of the date the Department sends the comprehensive written request to the applicant, the Department shall consider the application withdrawn.
- b. If the information submitted by the applicant does not meet the requirements of A.R.S. §§ 36-1901 through 36-1940.03 and this Article, the Department shall send a written notice of denial to the applicant including a basis for the denial and an explanation of the applicant's right to appeal.
- 2. If an applicant meets the requirements of A.R.S. §§ 36-1901 through 36-1940.03 and this Article, the Department shall send written notice of approval to the applicant.
- Within the substantive review time-frame, the Department shall provide a written notice
 to the applicant that the Department approved or denied the license or continuing
 education course.
- 2. During the substantive review time-frame:
 - a. The Department may make one comprehensive written request for additional information or documentation; and
 - b. If the Department and the applicant or licensee agrees in writing to allow one or more supplemental requests for additional information or documentation, the Department may make the number of supplemental requests agreed to between the Department and the applicant or licensee.
- 3. A comprehensive written request or a supplemental request for additional information or documentation suspends the substantive review time-frame and the overall time-frame from the post-mark date of the request until the date the Department receives all the information or documentation requested.
- 4. If the applicant or licensee does not submit to the Department all the information or documentation listed in a comprehensive written request or supplemental request for additional information or documentation within 30 calendar days after the post-mark date of the request, the Department shall deny the license or approval.
- **D.** After receiving the written notice of approval in subsection (C)(2)(C)(1), an applicant shall send a \$200 license fee to the Department. If the applicant does not submit the license fee within 30 days after the date the Department sends the written notice of approval to the applicant, the Department shall consider the application withdrawn.
- **E.** The Department shall issue an initial license for a regular license or temporary license:

- 1. Within five calendar days after receiving the licensing fee, and
- <u>2.</u> That is valid for two years from the date of issue.
- F. An applicant who is denied a license may appeal the denial according to A.R.S. Title 41, Chapter 6, Article 10.

Table 2.1. Time-frames (in calendar days)

| Type of | Statutory | Overall | Administrative | Time to | Substantive | Time to Respond |
|--------------------|------------------|--------------|----------------|-------------------|---------------|------------------|
| Approval | <u>Authority</u> | Time- | Completeness | Respond to | <u>Review</u> | to Comprehensive |
| | | <u>Frame</u> | Review Time- | Notice of | Time-Frame | Written Request |
| | | | <u>Frame</u> | <u>Deficiency</u> | | |
| <u>Initial</u> | A.R.S. §§ | <u>60</u> | <u>30</u> | <u>30</u> | <u>30</u> | <u>30</u> |
| Application for | <u>36-1904</u> | | | | | |
| an Audiologist | and 36- | | | | | |
| (R9-16-202) | <u>1940</u> | | | | | |
| <u>Initial</u> | A.R.S. §§ | <u>60</u> | <u>30</u> | <u>30</u> | <u>30</u> | <u>30</u> |
| Application for a | <u>36-1904</u> | | | | | |
| Speech-language | and 36- | | | | | |
| <u>Pathologist</u> | <u>1940.01</u> | | | | | |
| (R9-16-203) | | | | | | |
| Temporary | <u>A.R.S. §§</u> | <u>60</u> | 30 | <u>30</u> | <u>30</u> | <u>30</u> |
| Application for a | <u>36-1904</u> | | | | | |
| Speech-language | and 36- | | | | | |
| <u>Pathologist</u> | <u>1940.03</u> | | | | | |
| (R9-16-204) | | | | | | |
| Renewal | <u>A.R.S. §</u> | <u>60</u> | <u>30</u> | <u>30</u> | <u>30</u> | <u>30</u> |
| Application for | <u>36-1904</u> | | | | | |
| Audiologist | | | | | | |
| (R9-16-205) | | | | | | |
| Renewal License | <u>A.R.S. §</u> | <u>60</u> | <u>30</u> | <u>30</u> | <u>30</u> | <u>30</u> |
| Application for a | <u>36-1904</u> | | | | | |
| Speech-language | | | | | | |
| <u>Pathologist</u> | | | | | | |
| (R9-16-206) | | | | | | |
| Renewal of a | <u>A.R.S. §§</u> | <u>60</u> | <u>30</u> | <u>30</u> | <u>30</u> | <u>30</u> |
| <u>Temporary</u> | <u>36-1904</u> | | | | | |
| <u>License</u> | and 36- | | | | | |
| Application for a | <u>1940.03</u> | | | | | |

| Speech-language | | | | | | |
|--------------------|-----------------|-----------|-----------|-----------|-----------|-----------|
| <u>Pathologist</u> | | | | | | |
| (R9-16-207) | | | | | | |
| Approval of | <u>A.R.S. §</u> | <u>45</u> | <u>30</u> | <u>30</u> | <u>15</u> | <u>30</u> |
| Continuing | <u>36-1904</u> | | | | | |
| <u>Education</u> | | | | | | |
| (R9-16-208) | | | | | | |

R9-16-213. Repealed

R9-16-205.R9-16-210. Clinical Fellowship Supervisors

In addition to complying with the requirements in A.R.S. § 36-1905, a clinical fellowship supervisor shall:

- 1. Complete a minimum of 36 supervisory activities throughout an individual's clinical fellowship. Of the 36 supervisory activities, the clinical fellowship supervisor shall complete:
 - a. A minimum of 18 onsite on-site observations;
 - b. No more than six onsite on-site observations in 24 hours a 24 hour period; and
 - c. A minimum of 18 monitoring activities;
- 2. Submit a copy of the clinical fellowship report to the Department within 30 <u>calendar</u> days of <u>after</u> the completion of the clinical fellowship; and
- 3. Provide the Department and the clinical fellow with written notice within 72 hours of after the decision to stop supervising the clinical fellow if the clinical fellowship supervisor voluntarily stops supervising a clinical fellow before the completion of the clinical fellowship.

R9-16-211. Repealed Requirements for Supervising a Speech-language Pathologist Assistant

A licensed speech-language pathologist who supervises or directs services provided by a speech-language pathologist assistant shall:

- 1. Have at least two years of full-time professional experience;
- 2. Provide supervision and direction to no more than two full-time or three part-time speech-language pathologist assistants at one time;
- <u>3.</u> Ensure that the amount and type of supervision and direction provided is consistent with:
 - <u>a.</u> The speech-language pathologist assistant's skills and experience,
 - <u>b.</u> The needs of the clients served,

- <u>c.</u> The setting where the services are provided, and
- <u>d.</u> The tasks assigned;
- 4. <u>Inform a client when the services of a speech-language pathology assistant is being provided:</u>
- 5. Document each occurrence of direct and indirect supervision provided to a speechlanguage pathology assistant, including:
 - <u>a.</u> The speech-language pathologist assistant's name and temporary license number,
 - <u>b.</u> The name and address of business where services occurred, and
 - <u>c.</u> The date and type of supervision provided;
- 6. Ensure that the amount and type of supervision and direction provided to a speechlanguage pathology assistant is:
 - <u>a.</u> <u>A minimum of 20 per cent direct supervision and 10 per cent indirect supervision during the first 90 days of a clinical fellowship; and</u>
 - <u>b.</u> <u>Subsequent to the first 90 days of a clinical fellowship, a minimum of 10 per cent direct supervision and 10 per cent indirect supervision; and</u>
- 7. If more than one clinical fellowship supervisor provides supervision to a speech-language pathology assistant, designate one clinical fellowship supervisor as the primary clinical fellowship supervisor who is responsible for coordinating supervision provided by other clinical fellowship supervisor.

R9-16-212. Repealed Waiver for Examination, Education, and Clinical Rotation

- A. The Department shall waive the speech-language pathologist examination requirements in A.R.S. § 36-1940.02 if an applicant provides either of the following:
 - 1. Documentation that the applicant is currently licensed as a speech-language pathologist in a state, district or territory of this country that has standards that are equivalent to this state, or
 - 2. A certificate of clinical competence in speech-language pathology from a nationally recognized speech-language hearing association approved by the Department.
- B. The Department shall waive the audiologist educational and clinical rotation requirements in A.R.S. § 36-1940.02 if an applicant provides either of the following:
 - 1. Documentation the applicant is currently licensed as an audiologist in a state, district or territory of this country that has standards that are equivalent to this state, or

- 2. Documentation the applicant has a master's degree in audiology that was awarded by an accredited program before December 31, 2007 and has completed postgraduate professional experience in audiology as approved by the Department.
- <u>C.</u> The Department shall waive the audiology examination requirements in A.R.S. § 36-1940.02 if the applicant provides either of the following:
 - 1. Documentation the applicant is currently licensed and practicing audiology in this state or in another state that has standards that are at least equivalent to those of this state.
 - 2. Documentation the applicant is currently practicing audiology under the authority and supervision of an agency of the United States government or of another board, agency, or department of another state and holds a certificate in audiology from a recognized credentialing body approved by the Director.
- <u>D.</u> The Department shall waive the hearing aid dispensing examination requirements in A.R.S. § 36-1940.02 if the applicant provides the following:
 - 1. Documentation the applicant holds a current license that includes dispensing issued by another state that has standards that are at least equivalent to this state.
 - Documentation the applicant has passed an examination in jurisprudence and ethics within six months after regular licensure.

R9-16-213. Repealed Licensing Exceptions for Military Personnel

- A license of a licensee who is a member of the Arizona National Guard or the United States armed forces reserves and holds a regular license issued under Title 36, Chapter 17 and these rules:
 - 1. Does not expire while serving on federal active duty, and
 - 2. <u>Is extended 180 calendar days after returning from federal active duty, if the licensee or the legal representative of the licensee notified the Department of the licensee's federal active duty status, according to subsection (D).</u>
- <u>A license of a licensee who is a member of the regular component of the United States armed forces and holds a regular license issued under Title 36, Chapter 17 and these rules:</u>
 - 1. Does not expire while serving on federal active duty, and
 - 2. <u>Is extended 180 calendar days after the date of expiration, if the licensee or the legal</u> representative of the licensee notified the Department of the licensee's federal active duty status, according to subsection (D).
- <u>C.</u> A licensee, who renews a regular license during the 180 calendar days extended time period after returning from federal active duty, shall:

- 1. Pay the regular licensing renewal fee, and
- 2. Not pay a regular licensing renewal late fee.
- <u>D.</u> To qualify for the extended time period, a licensee or the legal representative of the licensee shall before the end of the licensee's 180 calendar day extended time period provide one of the following notifications to the Department:
 - 1. Official military orders,
 - 2. Redacted military identification card, or
 - 3. Written verification from the licensee's commanding officer.
- <u>A license of a licensee who is a member of the Arizona National Guard, the United States armed forces reserves, or the regular component of the United States armed forces:</u>
 - 1. Does not expire, and
 - 2. <u>Is extended 180 calendar days from the date the licensee is able to perform activities necessary under the licensee if the licensee:</u>
 - a. Is released from active duty service, and
 - <u>Suffers an injury as a result of active duty service that temporarily prevents the licensee from being able to perform activities necessary under the license.</u>

R9-16-214. Repealed

R9-16-209.R9-16-214. Equipment; Records; Inspections

- **A.** A licensee shall maintain equipment used by the licensee in the practice of audiology or the practice of speech-language pathology according to the manufacturer's specifications.
- **B.** If a licensee uses equipment that requires calibration, the licensee shall ensure that:
 - The equipment is calibrated a minimum of every 12 months and according to the American National Standard Specifications for Audiometers, \$3.6-1996 \$3.6-2010, Standards Secretariat, c/o Acoustical Society of America, 120 Wall Street, 32nd Floor, New York, New York 10005-3993, January 12, 1996 November 2, 2004, incorporated by reference and on file with the Department and the Office of the Secretary of State. This incorporation by reference contains no future additions or amendments; and
 - 2. A written record of the calibration is maintained in the same location as the calibrated equipment for 36 months from after the date of the calibration.
- **C.** A licensee shall maintain the following records for 36 months from after the date the licensee provided a service or dispensed a product while engaged in the practice of audiology, practice of speech-language pathology, or practice of fitting and dispensing hearing aids:

- 1. The name, address, and telephone number of the individual to whom services are provided;
- The name or description and the results of each test and procedure used in evaluating speech, language, and hearing disorders or determining the need for dispensing a product or service; and
- 3. If a product such as a hearing aid, augmentative communication device, or laryngeal device is dispensed, a record of the following:
 - a. The name of the product dispensed;
 - b. The product's serial number, if any;
 - c. The product's warranty or guarantee, if any;
 - d. The refund policy for the product, if any;
 - e. A statement of whether the product is new or used;
 - f. The total amount charged for the product;
 - g. The name of the licensee; and
 - h. The name of the intended user of the product.
- **D.** A licensee shall permit the Department to inspect the equipment in subsection (A) and the records listed in subsections (B) and (C).

R9-16-215. Bill of Sale Requirements (requirements in A.R.S. § 36-1909)

- An audiologist who dispenses hearing aids shall provide a bill of sale to a client at the time the audiologist provides a hearing aid to the client or at a time requested by the client.
- **B.** A bill of sale contains:
 - 1. The dispensing audiologist's name and address of practice;
 - 2. The dispensing audiologist's license number;
 - 3. A description of the hearing aid including the make, model, and serial number;
 - 4. Whether the hearing aid is new, used, repaired, or refurbished;
 - 5. The amount the client paid for the hearing aid; and
 - 6. The dispensing audiologist's documentation that the client has been informed about the proper use of audio switch technology, including:
 - a. Increased access to telephones and assistive listening devices, and
 - <u>b.</u> <u>Alternative terms used instead of "audio switch," such as telecoil, t-coil or t-</u> switch;
 - 7. <u>Information about the Arizona telecommunications equipment distribution program established in A.R.S. § 36-1947; and</u>

<u>8.</u> <u>Dispensing audiologist's signature and date of signature.</u>

R9-16-210. R9-16-216. Change Affecting a License; Request for Duplicate License Fee

- A. A licensee shall ensure that the Department is notified in writing at least 30 calendar days before the effective date of a change in:
 - 1. The name of the licensee, or
 - A licensee's address or the place or places where the licensee engages in the practice of, audiology, speech-language pathology, or fitting and dispensing hearing aids.
- **B.** If a change in a licensee's name, provide a copy of one of the following to the Department at least 30 calendar days before the effective date of the licensee's name change:
 - 1. A marriage certificate,
 - 2. A divorce decree, or
 - 3. Other legal document recording the licensee's change of name.
- **B.C.** An individual licensed under 9 A.A.C. 16, Article 2, A licensee may obtain a duplicate license by submitting to the Department a request for a duplicate license that containing includes:
 - 1. the individual's The licensee's name and address,
 - <u>2.</u> the <u>The licensee's license</u> number and expiration date of the license to be duplicated,
 - 3. the individual's The licensee's signature, and
 - 4. <u>a Twenty-five dollar \$25</u> duplicate license fee.

R9-16-208. R9-16-217. Disciplinary Actions

- **A.** In determining the length of license suspension or revocation, or the level of disciplinary action for any violation of A.R.S. §§ 36-1901 through 36-1940.03 or this Article, the Department shall consider:
 - 1. Take an action under A.R.S. § 36-1934,
 - 2. Request an injunction under A.R.S. § 36-1937, or
 - 3. Assess a civil money penalty under A.R.S. § 36-1939.
- **B.** <u>In determining which disciplinary action specified in subsection (A) is appropriate, the</u> Department shall consider:
 - 1. The type of violation,
 - 2. The severity of the violation,
 - 3. The danger to the public health and safety,
 - 4. The number of violations,
 - 5. The number of clients affected by the violations,

- 5.6. The degree of harm to the consumer,
- 6.7. A pattern of noncompliance, and
- 7.8. Any mitigating or aggravating circumstances.
- <u>C.</u> A licensee may appeal a disciplinary action taken by the Department according to A.R.S. Title 41, Chapter 6, Article 10.
- <u>D.</u> The Department shall notify a licensee's employer within five days after the Department initiates a disciplinary action against a licensee.

