

Skilled Nursing Facilities
Top Ten Federal Deficiencies
(10-01-09 through 09-30-10)

F0281	483.20(k)(3)(i)	Services Provided Meet Professional Standards
F0309	483.25	Provide Care/Services For Highest Wellbeing
F329	483.25(I)	Drug Regimen is Free From Unnecessary Drugs
F0279	483.20(d), 483.20(k)(1)	Develop Comprehensive Care Plans
F0441	483.65	Infection Control, Prevent Spread, Linens
F0514	483.75(I)(1)	Res Records-Complete/Accurate/Accessible
F0323	483.25(h)	Free of Accidents/Hazards/Supervision/Devices
F225	483.13(c) (ii)-(iii), (c)(2)-(4)	Investigate/Report/Allegations//Individuals
F0371	483.35(i)	Food Procedure, Store/Prepare/Serve-Sanitary
F226	483.13(c)	Develop/Implement Abuse/Neglect, Etc Policies

F0281:

The services provided or arranged by the facility must be provided by qualified persons in accordance with each resident's written plan of care.

F0309:

Each resident must receive and the facility must provide the necessary care and services to attain or maintain the highest practicable physical, mental, and psychosocial well-being, in accordance with the comprehensive assessment and plan of care.

F329:

Each resident's drug regimen must be free from unnecessary drugs. An unnecessary drug is any drug when used in excessive dose (including duplicate therapy); or for excessive duration; or without adequate monitoring; or without adequate indications for its use; or in the presence of adverse consequences which indicate the dose should be reduced or discontinued; or any combinations of the reasons above.

Based on a comprehensive assessment of a resident, the facility must ensure that residents who have not used antipsychotic drugs are not given these drugs unless antipsychotic drug therapy is necessary to treat a specific condition as diagnosed and documented in the clinical record; and residents who use antipsychotic drugs receive gradual dose reductions, and behavioral interventions, unless clinically contraindicated, in an effort to discontinue these drugs.

F0279:

A facility must use the results of the assessment to develop, review and revise the resident's comprehensive plan of care.

The facility must develop a comprehensive care plan for each resident that includes measurable objectives and timetables to meet a resident's medical, nursing, and mental and psychosocial needs that are identified in the comprehensive assessment.

The care plan must describe the services that are to be furnished to attain or maintain the resident's highest practicable physical, mental, and psychosocial well-being as required under §483.25; and any services that would otherwise be required under §483.25 but are not provided due to the resident's exercise of rights under §483.10, including the right to refuse treatment under §483.10(b)(4).

F0441:

The facility must establish and maintain an Infection Control Program designed to provide a safe, sanitary and comfortable environment and to help prevent the development and transmission of disease and infection.

(a) Infection Control Program

The facility must establish an Infection Control Program under which it -

- (1) Investigates, controls, and prevents infections in the facility;
- (2) Decides what procedures, such as isolation, should be applied to an individual resident; and
- (3) Maintains a record of incidents and corrective actions related to infections.

(b) Preventing Spread of Infection

- (1) When the Infection Control Program determines that a resident needs isolation to prevent the spread of infection, the facility must isolate the resident.
- (2) The facility must prohibit employees with a communicable disease or infected skin lesions from direct contact with residents or their food, if direct contact will transmit the disease.
- (3) The facility must require staff to wash their hands after each direct resident contact for which hand washing is indicated by accepted professional practice.

(c) Linens

Personnel must handle, store, process and transport linens so as to prevent the spread of infection.

F0514: The facility must maintain clinical records on each resident in accordance with accepted professional standards and practices that are complete; accurately documented; readily accessible; and systematically organized.

The clinical record must contain sufficient information to identify the resident; a record of the resident's assessments; the plan of care and services provided; the results of any preadmission screening conducted by the State; and progress notes.

F0323: The facility must ensure that the resident environment remains as free of accident hazards as is possible; and each resident receives adequate supervision and assistance devices to prevent accidents.

F0225: The facility must not employ individuals who have been found guilty of abusing, neglecting, or mistreating residents by a court of law; or have had a finding entered into the State nurse aide registry concerning abuse, neglect, mistreatment of residents or misappropriation of their property; and report any knowledge it has of actions by a court of law against an employee, which would indicate unfitness for service as a nurse aide or other facility staff to the State nurse aide registry or licensing authorities.

The facility must ensure that all alleged violations involving mistreatment, neglect, or abuse, including injuries of unknown source and

misappropriation of resident property are reported immediately to the administrator of the facility and to other officials in accordance with State law through established procedures (including to the State survey and certification agency).

The facility must have evidence that all alleged violations are thoroughly investigated, and must prevent further potential abuse while the investigation is in progress.

The results of all investigations must be reported to the administrator or his designated representative and to other officials in accordance with State law (including to the State survey and certification agency) within 5 working days of the incident, and if the alleged violation is verified appropriate corrective action must be taken.

F0371:

The facility must -

(1) Procure food from sources approved or considered satisfactory by Federal, State or local authorities; and

(2) Store, prepare, distribute and serve food under sanitary conditions

F0226:

The facility must develop and implement written policies and procedures that prohibit mistreatment, neglect, and abuse of residents and misappropriation of resident property.

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(07-01-09 through 06-30-10)

B0637	R9-10-906.B.11.b	Nursing Services
B0433	R9-10-904.e.1.m.	Administration
B0643	R9-10-906.B.15.	Nursing Services
B1502	R9-10-915.1.b.	Environment and Equipment Standards
B1111	R9-10-911.c.1.b.	Medication
B0421	R9-10-904E.1.a.	Administration
B1201	R9-10-912.A.1.	Food Services
B1303	R9-10-913.A.2.b.	Medical Records
B0702	R9-10-907.1.a.ii.	Resident Rights
B0635	R9-10-906.B.10.	Nursing Services

B0637:

- R9-10-906.B. A director of nursing shall ensure that:
 - R9-10-906.B.11. The care plan required in subsection (B)(10):
 - R9-10-906.B.11.b. Ensures that a resident is provided nursing services to maintain the resident's highest practicable well-being according to the resident's comprehensive assessment.

B0433:

- R9-10-904.E. An administrator shall ensure that:
 - R9-10-904.E.1. Nursing care institution policies and procedures are established, documented, and implemented that cover:
 - R9-10-904.E.1.m. Nursing services.

B0643 :

- R9-10-906.B. A director of nursing shall ensure that:
 - R9-10-906.B.15. An unnecessary drug is not administered to a resident.

B1502:

- R9-10-915. An administrator shall ensure that:
 - R9-10-915.1. A nursing care institution's premises and equipment are:
 - R9-10-915.1.b. Free from a condition or situation that may cause a resident or an individual to suffer physical injury.

B1111:

- R9-10-911.C. A director of nursing shall ensure that:
 - R9-10-911.C.1. Medication policies and procedures are established, documented, and implemented that include:
 - R9-10-911.C.1.b. The administration, storage, and disposal of medications, biologicals, and controlled substances.

B0421:

- R9-10-904.E. An administrator shall ensure that:

R9-10-904.E.1. Nursing care institution policies and procedures are established, documented, and implemented that cover:

R9-10-904.E.1.a. Abuse of residents and misappropriation of resident property.

B1201:

R9-10-912.A. An administrator shall ensure that:

R9-10-912.A.1. Food services are provided in compliance with A.A.C. Title 9, Chapter 8, Article 1.

B1303:

R9-10-913.A. An administrator shall ensure that:

R9-10-913.A.2. An entry in a medical record is:

R9-10-913.A.2.b. Dated, legible, and authenticated.

B0702:

R9-10-907. An administrator shall ensure that:

R9-10-907.1. A resident:

R9-10-907.1.a. Is treated with consideration, respect, and dignity, and receives privacy in:

R9-10-907.1.a.ii. Activities of daily living.

B0635:

R9-10-906.B. A director of nursing shall ensure that:

R9-10-906.B.10. A care plan is developed, documented, and implemented for a resident within seven days of completing the comprehensive assessment required in subsection (B)(6).

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