SURVEY PROCESS FOR FACILITY REOCCUPATION

Connie Belden, Team Leader-Office of Medical Facilities
Division of Licensing
Arizona Department of Health Services

February 2, 2011
REOCCUPATION SURVEY PROCESS

Assumptions:
1. Emergency has been declared
2. Time limited waivers are granted
3. The declared emergency has been terminated
RECOVERY PLANNING PROCESS

• Recovery planning should be part of your Emergency Response Plan

• Continue monitoring for:
  • Scope and the size of the incident
  • Impact to the day-to-day operations of the facility
  • Impact on community resources that support the facility operations (Electrical, water, transportation etc)

• Consider impact of the incidence when requesting timeframe for the waiver
RECOVERY PLANNING PROCESS

Reoccupation Phase

• Licensing Process:
  o Prioritization based on Emergency Incident
    ✓ Isolated
    ✓ Multiple facilities involved
    ✓ Type of facilities involved
    ✓ Healthcare needs within the Community
  o Overall Community reoccupation/repopulation plan
RECOVERY PLANNING PROCESS

Reoccupation Phase

- Intra-agency collaboration within ADHS:
  - State Architects
  - Life Safety
  - Non Long Term Care
  - Long Term Care
Request from Facility for Reoccupation

1. Complete Reoccupation
   - ✓ Return to services as prior to the Emergency

2. Phased Reoccupation
   a. Return to limited capacity
   b. Return to limited services
   c. Reoccupation by physical space

3. Reoccupation with altered or changed services that are intended to be permanent changes
SURVEY PROCESS

Off Site reviews

✓ Consultation with Stakeholders involved with the reoccupation

✓ Ongoing from the time of the initiation of the emergency through to the approved reoccupation

✓ Information collected during this time may drive the extent of the survey and the process utilized to approve return of operations/reoccupation

On Site reviews
STRUCTURAL DAMAGE

- ADHS-Division of Licensing (DLS):
  - Will review the County/City documents regarding the safety of the building/space (after the incident)
  - Approval/denial will be based on the recommendations in County/City review documents

- State Architect Review for Codes and Standards (AIA Guidelines):
  - AIA guidelines for the individual facility prior to the emergency incident
  - Current codes and standards if new construction required
STRUCTURAL DAMAGE (Contd.)

- CMS may direct a *Life Safety Code Survey*
  - State Fire Marshalls:
    a. Review based on the NFPA requirements for the facility type: Inpatient or Outpatient
    b. Survey based on the Safety of the Building to protect the patients and staff
CLOSED SERVICES

• State Survey Agency (ADHS-DLS) will conduct survey based on the Rules and Regulations for the impacted service(s); survey will focus on:

  a. Health and Safety Protection
  b. Available Resources
      ✓ Staffing/Competencies of Staff
      ✓ Equipment
      ✓ Supplies
      ✓ Ancillary Services
  c. Ongoing access to community resources
Questions